

## A COMMUNICATION SYSTEM AND METHOD OF AUTHENTICATION THEREFOR

### 5 Field of the invention

The invention relates to a communication system and method of authentication of a GPRS communication unit therefor and in particular to authentication of a dual-mode communication unit through a local network  
10 access point.

### Background of the Invention

15 FIG. 1 illustrates the principle of a conventional cellular communication system 100 in accordance with prior art. A typical cellular communication system is the Global System for Mobile communication (GSM). A geographical region is divided into a number of cells 101, 103, 105, 107 each of which is served by base station 109, 111, 113, 115. The base stations are interconnected  
20 by a fixed network, which can communicate data between the base stations 101, 103, 105, 107. A mobile station is served via a radio communication link by the base station of the cell within which the mobile station is situated. In the example of FIG. 1, mobile station 117 is served by base station 109 over radio link 119; mobile station 121 is served by base station 111 over radio link  
25 123 and so on.

As a mobile station moves, it may move from the coverage of one base station to the coverage of another, i.e. from one cell to another. For example mobile station 125 is initially served by base station 113 over radio link 127. As it  
30 moves towards base station 115 it enters a region of overlapping coverage of the two base stations 111 and 113 and within this overlap region it changes to

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be supported by base station 115 over radio link 129. As the mobile station 125 moves further into cell 107, it continues to be supported by base station 115. This is known as a handover or handoff of a mobile station between cells.

5 A typical cellular communication system extends coverage over typically an entire country and comprises hundred or even thousands of cells supporting thousands or even millions of mobile stations. Communication from a mobile station to a base station is known as uplink, and communication from a base station to a mobile station is known as downlink.

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The fixed network interconnecting the base stations is operable to route data between any two base stations, thereby enabling a mobile station in a cell to communicate with a mobile station in any other cell. In addition the fixed network comprises gateway functions for interconnecting to external networks  
15 such as the Public Switched Telephone Network (PSTN), thereby allowing mobile stations to communicate with landline telephones and other communication terminals connected by a landline. Furthermore, the fixed network comprises much of the functionality required for managing a conventional cellular communication network including functionality for  
20 routing data, admission control, resource allocation, subscriber billing, mobile station authentication etc.

A very important factor in a communication system is the quality of service that a user is provided with. In traditional communication systems, which  
25 were strongly focussed on the service of providing speech services, such quality of service parameters mainly related to the speech quality and probabilities of setting up and maintaining calls. However, in the further development of GSM and in related communication systems such as General Packet Radio Service (GPRS), an increased variety of services are offered and envisaged.

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Specifically, a traditional GSM communication system uses connection based services where a permanent connection is setup between the two parties of a call. A connection based service is well suited for applications where data is communicated continuously. However, as the connection is permanent for the  
5 duration of the call, it will be maintained even when the parties of a call are not transmitting data. A connection based protocol is thus highly inefficient for data of a bursty nature. One example of such a data service is an Internet service, where data is only required during download of a new page. A more efficient protocol for communicating bursty data is a packet data protocol  
10 where one block or packet of data is transmitted at the time. Each packet is routed to the destination independently of other packets. Also the connection over the air interface is not continuously maintained between the mobile station and the base station, but rather is typically set up for each new packet. For this purpose, the GSM communication system has been enhanced with the  
15 GPRS packet data protocol. Further information on GPRS can be found in "General Packet Radio Service in GSM", Jian Cai and David J. Goodman, IEEE Communications Magazine, Oct 1997, pp. 122-131"

Furthermore, in recent years there has been a significantly increased interest  
20 in Wireless Local Area Networks (WLANs). Specifically, WLANs providing wireless data network services have been introduced in many regions and are expected to become increasingly prevalent in the future. The increased prevalence of WLANs has been aided by the emergence of different WLAN standards allowing for standardised equipment to be developed, thereby  
25 reducing cost and increasing the interoperability between WLAN systems. For example, different WLAN standards have been developed by the Institute of Electrical and Electronic Engineers. One example of this is the WLAN standard IEEE 802.11b which provides for a maximum data rate of 11 Mbps and ranges between communication units of typically up to 100 meters.

To some extent the services provided by WLANs and cellular communication systems may overlap, and there has accordingly in recent years been significant focus on providing interoperability between WLANs and cellular communication systems. Specifically, most WLANs are based on packet data communication and are therefore specifically suited for interoperation with GPRS cellular communication systems.

However, interoperability provides many different problems associated with aligning procedures between the different communication systems. One important area is authentication of communication units. The authentication systems for WLANs and GPRS systems are different, and therefore WLANs that additionally may interoperate with GPRS services conventionally implement specific functionality for authentication of GPRS communication units. However, this is an inefficient approach as it for example may require additional functionality thereby increasing complexity and cost of the WLAN equipment. It may furthermore prevent equipment that has not been developed for GPRS interaction to provide GPRS associated services. It also requires a strong coordination of network management between the GPRS system and the WLAN system.

Hence, an improved system for authenticating a GPRS communication unit would be advantageous.

## Summary of the Invention

Accordingly, the Invention seeks to mitigate, alleviate or eliminate one or more of the above mentioned disadvantages singly or in any combination.

According to a first aspect of the invention, there is provided a method of authenticating a GPRS communication unit on a GPRS communication system



through an access point of a local network, the method comprising the steps of:  
the GPRS communication unit attaching to the access point using a local  
network protocol; authenticating the GPRS communication unit by  
communicating GPRS authentication messages between the GPRS

5 communication unit and a GPRS authentication element through the access  
point by encapsulation of GPRS authentication messages in local network  
authentication messages.

GPRS authentication messages may be any messages associated with

10 authentication of the GPRS communication unit. As such they may include  
messages directly or indirectly involved with the authentication process  
including for example mobility management messages and specifically GPRS  
Mobility Management messages. Preferably, the local network is a Wireless  
Local Area Network (WLAN) and the GPRS communication unit is a

15 multimode communication unit capable of operating on both the GPRS  
communication system and the local network. Hence, the invention allows for  
combining authentication processes of the GPRS communication system and  
the local network. A standard GPRS authentication process may be performed  
as the standard GPRS authentication messages may be used. The GPRS  
20 authentication may be performed independently of the local network  
authentication. Hence, with respect to GPRS authentication the local network  
may simply act as a transport mechanism for GPRS authentication messages.  
Likewise, the local network authentication may simply disregard the  
encapsulated GPRS messages. Also, for example, the access point is not

25 required to implement any GPRS authentication but need only support the  
basic encapsulation protocol. This allows for a simple, low complexity,  
independent and/or reliable authentication method for GPRS authentication  
through a local network access point.

30 According to a second aspect of the invention, there is provided a  
communication system comprising a GPRS communication network and a

local network, the communication system comprising: means for a GPRS communication unit to attach to the access point using a local network protocol; means for authenticating the GPRS communication unit by communicating GPRS authentication messages between the GPRS communication unit and a GPRS authentication element through the access point by encapsulation of GPRS authentication messages in local network authentication messages.

These and other aspects of the invention will be apparent from and elucidated with reference to the embodiment(s) described hereinafter. Specifically, further advantageous features are provided in the dependent claims.

#### Brief Description of the Drawings

An embodiment of the invention will be described, by way of example only, with reference to the drawings, in which

FIG. 1 is an illustration of a cellular communication system in accordance with the prior art;

FIG. 2 illustrates a block schematic of a communication system in accordance with an embodiment of the invention;

FIG. 3 illustrates the protocol architecture of network elements in accordance with an embodiment of the invention; and

FIG. 4 illustrates a message exchange for an authentication process in accordance with an embodiment of the invention.



### Description of Preferred Embodiments

The following description focuses on an embodiment of the invention applicable to a communication system comprising a Wireless Local Area Network (WLAN) and in particular to an IEEE 802.11 WLAN. However, it will be appreciated that the invention is not limited to this application but may be applied to many other communication systems and local networks.

FIG. 2 illustrates a block schematic of a communication system 200 in accordance with an embodiment of the invention. The communication system comprises a cellular GPRS sub-communication system 201 and a WLAN sub-communication system 203.

The GPRS sub-communication system 201 is part of a GSM communication system and comprises a number of base stations. The base stations comprise functionality for communicating with communication units in accordance with the GPRS or GSM communication protocols depending on the nature of the communication unit and the service provided. For clarity and brevity, FIG. 2 illustrates only a few functional elements associated with the described GPRS functionality. It will be appreciated that a practical GSM/GPRS communication system will comprise many additional functional elements as is well known in the art.

FIG. 2 illustrates a base station 205 supporting two GPRS communication units 207, 209 over air interface communication links 211, 213. For clarity and brevity, some functionality typically comprised in the Base Station Controller are herein included as part of the base station 205. A communication unit of the communication system may typically be a wireless user equipment, a subscriber unit, a mobile station, a communication terminal, a personal digital assistant, a laptop computer, an embedded communication processor or any communication element communicating over the air interface. The GPRS

communication units 207, 209 communicate with the base station 205 in accordance with the GPRS standards.

The base station 205 is through a Base Station Controller (not shown) coupled to a GPRS Support Node (SGSN) 215, which is part of a packet based interconnecting network and comprises functionality for routing the data from the GPRS communication units towards the desired destination. The SGSN 215 further provides mobility and session management functionality for the GPRS services.

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The SGSN 215 is coupled to a number of other GPRS Serving Nodes of which two are shown in FIG. 2. The SGSN 215 is coupled to another SGSN 217, which is operable to serve the communication units in another given geographical area. GPRS mobility management signalling is exchanged between the SGSN 215 and the SGSN 217, when for example the GPRS communication unit 207 roams from the area served by SGSN 215 to the area served by SGSN 217.

The SGSN 215 is also coupled to a Gateway GPRS Support Node (GGSN) 219, which is operable to route data in the packet based network. In addition, the GGSN 219 specifically comprises an interworking function interfacing to the Internet 221 and thus provides a gateway between the GPRS sub-communication system 201 and the Internet 221.

25 The WLAN sub-communication system 203 is an IEEE 802.11 Wireless Local Area Network. The WLAN sub-communication system 203 provides wireless data services to WLAN communication units over relatively short distances. Typically, data services may be provided over a range up to around 100m between an access point and a WLAN communication unit. Hence, the WLAN sub-communication system 203 comprises a large number of access points each of which is able to provide wireless data services in a relatively small area.

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The access points are interconnected to form a data network which is operable to route data from one access point to another, thereby allowing for data communication between WLAN communication units being served by different access points.

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Specifically, FIG. 2 illustrates a first access point 225 coupled to a second access point 227. The first access point serves two communication units 229, 231. In the specific example one of the communication units 229 is a WLAN communication unit which comprises functionality only for communicating on the WLAN sub-communication system 203. However, the second

10 communication unit is a dual-mode communication unit 231 which can communicate according to both the WLAN standard and the GPRS standard.

In the example of FIG. 2 the two access points 225, 227 are connected to an

15 Authentication, Authorisation and Accounting (AAA) proxy 233. The AAA proxy 233 comprises functionality for operating authentication protocols for the WLAN sub-communication system 203. In the example of FIG. 2, the AAA proxy 233 further comprises functionality for communicating with the GPRS sub-communication system 201, and specifically it is coupled to the SGSN 215

20 of the GPRS sub-communication system 201.

In normal operation, a GPRS communication unit attaching to the GPRS sub-communication system 201 causes a GPRS authentication process to be initiated as is well known in the art. Likewise, if a WLAN communication unit

25 attaches to the WLAN sub-communication system, a WLAN authentication process is initiated as is known in the art. If the authentication process is successful the communication unit is allowed on to the sub-communication system, and it can consequently proceed to use the services provided.

30 However, for multi-mode communication units capable of communicating according to more than one protocol and thus using more than one sub

communication system, it is advantageous if the different services can be provided regardless of which sub-communication system is used as the access network. Specifically, it is advantageous if the WLAN sub-communication system can be used by a GPRS/WLAN dual-mode communication unit to  
5 access GPRS services. Hence, it is advantageous if the dual-mode communication unit 231 can access the access point 225 and accordingly be authenticated by the GPRS sub-communication system 201 through the authentication protocols and procedures used in the WLAN sub-communication system 203. If the authentication is successful, the dual-mode  
10 communication unit 231 may proceed to access the GPRS services of the GPRS sub-communication system 201 through the WLAN sub-communication system 203. For example, the dual-mode communication unit 231 may setup GPRS connections with the Internet.

15 In the preferred embodiment, the authentication of the dual-mode communication unit 231 on the WLAN sub-communication system 203 is performed as for other WLAN communication units. In addition, GPRS authentication is performed by communicating GPRS authentication messages between the dual-mode communication unit 231 and the SGSN 215. The  
20 SGSN 215 accordingly performs the prescribed GPRS authentication process as for a communication unit served by the GPRS sub-communication system but uses the GPRS authentication messages which are communicated through the WLAN sub-communication system 203.

25 The communication of the GPRS authentication messages is performed by encapsulating these messages in authentication messages of the WLAN sub-communication system 203. In the preferred embodiment, the authentication protocol of the WLAN sub-communication system 203 preferably comprises extensible authentication messages, which may include additional messages  
30 that encapsulate GPRS signalling messages. The extensible authentication message may thus have the characteristics and comprise the information



required for appropriate processing and routing in the WLAN sub-communication system 203, but in addition comprise one or more data elements that are ignored in the WLAN sub-communication system. These data elements may specifically comprise GPRS authentication messages.

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The WLAN sub-communication system 203 is furthermore not only capable of routing these authentication messages internally in the WLAN sub-communication system 203, but is also capable of routing them to or from the GPRS sub-communication system 201. Hence, the GPRS sub-communication system 201 may route the extensible authentication message to or from the appropriate SGSN 215. Thereby, a communication link is performed for GPRS authentication messages between the dual-mode communication unit 231 and the appropriate SGSN 215 through the WLAN sub-communication system 203. The GPRS authentication process may be performed in the SGSN 215 and dual-mode communication unit 231 without consideration of the WLAN authentication process.

For communication between the SGSN and the dual-mode communication unit, the SGSN may encapsulate the GPRS authentication message in the extensible authentication message and address the message to the dual-mode communication unit. When received, the dual-mode communication unit may extract the GPRS authentication message from the extensible authentication message and process it in accordance with the GPRS authentication protocol.

25 For communication between the dual-mode communication unit and the SGSN, the dual-mode communication unit may encapsulate the GPRS authentication message in the extensible authentication message and address the message to the SGSN. When received, the SGSN may extract the GPRS authentication message from the extensible authentication message and process it in accordance with the GPRS authentication protocol.

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Hence, in the preferred embodiment the dual mode communication unit attaches to the access point using a local network protocol appropriate for that access point and the associated local network. The authentication of the GPRS aspect of the dual communication unit is then performed by communicating  
5 GPRS authentication messages between the GPRS communication unit and a GPRS authentication element through the access point by encapsulation of GPRS authentication messages in the local network authentication messages.

Preferably, the extensible authentication messages are in accordance with the  
10 Extensible Authentication Protocol (EAP) specified in Internet Engineering Task Force (IETF) RFC 2284, "PPP Extensible Authentication Protocol". The Extensible Authentication Protocol provides a generic authentication protocol, which can be extended in order to facilitate various authentication methods. This protocol has been adapted in IEEE specification 802.1x as a generic  
15 protocol for enabling various authentication methods in WLANs such as IEEE 802.11.

FIG. 3 illustrates the protocol architecture of network elements in accordance with an embodiment of the invention wherein EAP authentication messages  
20 are used.

The dual mode communication unit implements the protocol stack 301 comprising an EAP-GPRS layer 303. This layer provides functionality for encapsulating GPRS messages into EAP messages or for retrieving GPRS  
25 messages from encapsulated EAP messages. It thus provides a communication channel for the GPRS messages to the higher layers. These layers may thus perform the GPRS authentication. The EAP-GPRS layer 303 resides on an EAP layer 305 which implements the EAP communication protocol between the dual mode communication unit and the access point. The EAP layer 305  
30 resides on top of an EAPOL (EAP Over LAN) layer 307 and an IEEE802.11 layer 309 as are well known from the IEEE 802.1x specification.



In the preferred embodiment, the access point implements a protocol stack comprising an EAPOL layer 313 and an 802.11 layer 311 for communicating with the dual mode communication unit as is known in the art from the IEEE 802.1x specification. In the preferred embodiment, the access point further implements a protocol stack comprising a RADIUS transport layer 315 for communicating with the AAA proxy. The AAA proxy implements a protocol stack 317 using RADIUS transport layer for communicating with the access point as well as the SGSN. The SGSN implements a protocol stack wherein an EAP layer 321 corresponding to the EAP layer 305 of the dual mode communication unit 231 resides on top of a RADIUS layer 323 which provides the transport layer for the communication with the AAA proxy. An EAP-GPRS layer 325 resides on top of the EAP layer 321. As for the EAP-GPRS layer 303 of the dual mode communication unit, this layer provides functionality for encapsulating GPRS messages into EAP messages or for retrieving GPRS messages from encapsulated EAP messages. It thus provides a communication channel for the GPRS messages to the higher layers, which perform the GPRS authentication process.

The preferred embodiment thus provides an authentication technique that combines the WLAN and the GPRS specific authentication mechanisms and allows a communication unit to perform a single authentication procedure. Furthermore, the access point does not need to implement any GPRS authentication but only needs to support the basic EAP protocol. Specifically, the preferred embodiment provides an authentication process that converges GPRS and 802.1x authentication mechanisms, and which enables a dual mode communication unit to attach to a GPRS core in the context of 802.1x authentication. No coordination of the different authentication procedures are required except for establishing the encapsulation protocol.

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FIG. 4 illustrates a message exchange for an authentication process in accordance with an embodiment of the invention. FIG. 4 illustrates the network elements by vertical lines and message exchanges by horizontal arrows. In the illustrated embodiment, the access point communicates directly  
5 with the SGSN without the intervention of an AAA proxy.

Initially, the dual-mode communication unit attaches to the access point using a local network protocol and specifically by performing an association 401 in accordance with the IEEE 802.11 standard. After the dual-mode  
10 communication unit is associated with the access point, the 802.1x authentication is initiated. This authentication uses EAP signalling messages.

Consequently, the access point transmits a message 402 requesting an identity from the dual-mode communication unit.

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In response, the dual-mode communication unit transmits an identity to the access point using an EAP Response/Identity message 403. The Response/Identity message 403 includes a GPRS subscriber identity such as the International Mobile Subscriber Identity (IMSI), or the Packet Temporary  
20 Mobile Subscriber Identity (P-TMSI) of the subscriber. This message is then relayed to the SGSN, which acts as a normal Authentication, Authorisation and Accounting (AAA) server. Hence, the access point communicates an access message to the GPRS authentication element indicating that the GPRS communication unit has attached to the access point.

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Subsequently, a GPRS Authentication Initiation message 405 encapsulated in an EAP message is communicated from the SGSN to the access point and from the access point to the dual-mode communication unit. Thus the SGSN sends an EAP GPRS/Start command to the dual-mode communication unit thereby  
30 initiating the GPRS authentication process.



Subsequently, a GPRS Attach Request message 407 encapsulated in a local network authentication message is communicated from the GPRS communication unit to the access point, and from the access point to the SGSN.

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Subsequently, the SGSN retrieves authentication data 409 associated with the dual-mode communication unit from a Home Location Register of the GPRS sub-communication system. The data required and the method of retrieving it is performed as for a normal GPRS authentication for a GPRS communication unit attached to a GPRS base station. Specifically, the data and retrieval of data is in accordance with Technical Specification TS 23.060 of the 3<sup>rd</sup> Generation Partnership Project (3GPP).

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Subsequently, a GPRS Authentication and Ciphering Request message 411 encapsulated in an EAP message is communicated from the SGSN to the access point and from the access point to the dual-mode communication unit. The purpose of this message is to send an authentication challenge to the dual-mode communication unit and verify its credentials.

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Subsequently, a GPRS Authentication and Ciphering Response message 413 encapsulated in an EAP message is communicated from the dual-mode communication unit to the access point and from the access point to the SGSN. The purpose of this message is to send an authentication response from the dual-mode communication unit to the SGSN.

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Subsequently, if the communication unit is successfully authenticated by the SGSN, a GPRS Attach Accept message 415 encapsulated in an EAP message is communicated from the SGSN to the access point and from the access point to the dual-mode communication unit. The purpose of this message is to indicate to the dual-mode communication unit that it has been successfully authenticated and it is attached to the GPRS service. However, if the

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communication unit is not successfully authenticated by the SGSN, a GPRS Attach Reject message encapsulated in an EAP message is communicated from the SGSN to the access point and from the access point to the dual mode communication unit. The purpose of this message is to indicate to the dual mode communication unit that it has not been successfully authenticated and it could not be attached to the GPRS service.

Subsequently, a GPRS Attach Complete message 417 encapsulated in an EAP message is communicated from the dual mode communication unit to the access point and from the access point to the SGSN. The purpose of this message is to indicate to the SGSN that the dual mode communication unit has successfully received the GPRS Attach Accept message along with an embedded temporary identity (P-TMSI) assigned to the dual mode communication unit. If there is no need to send a GPRS Attach Complete message (in accordance with the rules in 3GPP TS 24.008), the dual mode communication unit sends an EAP-GPRS/Attach-Accept-Ack message. The purpose of this message is to indicate to the SGSN that the dual mode communication unit has successfully received the GPRS Attach Accept.

Subsequently, the SGSN communicates with a Home Location Register of the GPRS sub-communication system to perform a GPRS location update 419. The method of performing the GPRS location update is as for a normal GPRS authentication for a GPRS communication unit attached to a GPRS base station. Specifically, the GPRS location update is in accordance with Technical Specification TS 23.060 of the 3<sup>rd</sup> Generation Partnership Project (3GPP).

Subsequently, an authentication success message, which is preferably an EAP success message, is communicated from the SGSN to the access point. In response to receiving the authentication success message, the access point authorizes the data port (or association) corresponding to the dual mode communication unit for GPRS communication. In the preferred embodiment,



the access point is only authorised for the dual mode communication unit if the GPRS authentication is successful.

In the preferred embodiment, the GPRS authentication messages are  
5 encapsulated and de-encapsulated at the dual mode communication unit and the SGSN. However, in other embodiments, the encapsulated messages may only be used for part of the communication path between a communication unit and a GPRS authentication element. For example, encapsulated messages may be used internally in the local network, e.g. the WLAN sub-  
10 communication system, to communicate with a GPRS interworking function. The interworking function may provide functionality for communicating with elements of the GPRS sub-communication system using GPRS messages and protocols, and with elements of the local network using encapsulated messages and protocols. Hence, specifically, the interworking function may comprise  
15 functionality for encapsulating GPRS authentication messages as well as for retrieving encapsulated GPRS messages.

It is within the contemplation of the invention that the authentication may be performed at any suitable time or in response to any suitable event.  
20 Specifically, the authentication may be performed when the dual mode communication unit performs initial access to the GPRS communication system. Alternatively or additionally the authentication may be performed in association with a GPRS routing area update. For example, when the dual mode communication unit moves from a GPRS radio coverage area to a WLAN  
25 radio coverage area it will preferably handover to the WLAN and perform an authentication as part of a GPRS routing area update procedure.

The invention can be implemented in any suitable form including hardware, software, firmware or any combination of these. However, preferably, the  
30 invention is implemented as software running on processors and/or digital signal processors. The elements and components of an embodiment of the

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invention may be physically, functionally and logically implemented in any suitable way. Indeed the functional modules may be implemented in a single unit, in a plurality of units or as part of other functional units. As such, the invention may be physically and functionally distributed between different  
5 units and processors.

Although the present invention has been described in connection with the preferred embodiment, it is not intended to be limited to the specific form set forth herein. Rather, the scope of the present invention is limited only by the  
10 accompanying claims. In the claims, the term comprising does not exclude the presence of other elements or steps. Furthermore, although individually listed, a plurality of means, elements or method steps may be implemented by e.g. a single unit or processor. Additionally, although individual features may be included in different claims, these may possibly be advantageously combined,  
15 and the inclusion in different claims does not imply that a combination of features is not feasible and/or advantageous. In addition, singular references do not exclude a plurality. Thus references to "a", "an", "first", "second" etc do not preclude a plurality.

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